PTO/SB/17 (12-97)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

Note: Effective October 1, 1997. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| Complete if Known | | |
|------------------------|------------------|--|
| Application Number | 09/144,888 | |
| Filing Date | August 31, 1998 | |
| First Named Inventor | Michael A. Siani | |
| Group Art Unit | 1615 | |
| Examiner Name | Not yet assigned | |
| Attorney Docket Number | C-REN-020/OUS | |

| METHOD OF PAYMENT (check one) | FEE CALCULATION (continued) | | | | | |
|---|--|-------------|--|--|--|--|
| The Commissioner is hereby authorized to charge | 3. ADDITIONAL FEES | | | | | |
| indicated fees and credit any over payments to: | Large Entity Small Entity Fee Fee Fee | | | | | |
| Deposit Account 03-317 | Code (\$) Code (\$) Fee Description | Fee Paid | | | | |
| Number Deposit | 105 130 205 65 Surcharge - tate filing fee or oath | | | | | |
| Account Name Cooley Godward LLP | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. | | | | | |
| Charge Any Additional Fee Required Under Charge the issue Fee Set in 37 CFR 1.18 at the Mailing of the | 139 130 139 130 Non-English specification | | | | | |
| 37 CFR 1.16 and 1.17 Notice of Allowance | 147 2,520 147 2,520 For filing a request for resxamination | | | | | |
| 2. Payment Enclosed: | 112 920° 112 920° Requesting publication of SIR prior to Examiner action | | | | | |
| 2. Payment Enclosed: Check Order Other | 113 1,840° 113 1,840° Requesting publication of SIR after Examiner action | | | | | |
| FEE CALCULATION | 115 110 215 55 Extension for reply within first month | | | | | |
| | 116 400 216 200 Extension for reply within second month | - | | | | |
| 1. FILING FEE | 117 950 217 475 Extension for reply within third month | | | | | |
| Large Entity Small Entity | 118 1,510 218 755 Extension for reply within fourth month | | | | | |
| Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) | 128 2,080 228 1,030 Extension for reply within fifth month | | | | | |
| 101 790 201 395 Utility filling fee | 119 310 219 155 Notice of Appeal | | | | | |
| 106 330 206 165 Design filling fee | 120 310 220 155 Filing a brief in support of an appeal | | | | | |
| 107 540 207 270 Plant filing fee | 121 270 221 135 Request for oral hearing | | | | | |
| 108 790 208 395 Reissue filing fee | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | | | |
| 114 150 214 75 Provisional filing fee | 140 110 240 55 Petition to revive - unavoidable | | | | | |
| SUBTOTAL (1) (\$) | 141 1,320 241 660 Petition to revive - unintentional | | | | | |
| | 142 1,320 242 660 Utility issue fee (or reissue) | | | | | |
| 2. CLAIMS Extra Fee from Fee Paid | 143 450 243 225 Design issue fee | | | | | |
| Total Claims -20 = X = | 144 870 244 335 Plant issue fee | | | | | |
| Claims | 122 130 122 130 Petitions to the Commissioner | | | | | |
| Multiple Dependent Claims X = = | 123 50 123 50 Petitions related to provisional applications | | | | | |
| Large Entity Small Entity | 126 240 126 240 Submission of Information Disclosure Strat | | | | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 40 581 40 Recording each patent assignment per property (times number of properties) | | | | | |
| 103 22 203 11 Claims in excess of 20 | 146 790 246 395 Filing a submission after final rejection | —— | | | | |
| 102 82 202 41 Independent claims in excess of 3 | (37 CFR 1.129(a)) | | | | | |
| 104 270 204 135 Multiple dependent claim | 149 /90 249 393 For each additional invention to be examined (37 CFR 1.129(b)) | | | | | |
| 109 82 209 41 Reissue independent claims over original patent | ``. | | | | | |
| 110 22 210 11 Reissue claims in excess of 20 | Other fee (specify) | | | | | |
| and over original patent | Other fee (specify) | | | | | |
| SUBTOTAL (2) (\$) Ø Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) Ø | | | | | | |
| SUBMITTED BY Complete (if applicable) | | | | | | |
| Typed or To O O | | 2.00 | | | | |

| SUBMITTED BY | | | Complete (if applicable) | |
|--------------------------|--------------------|---------------|----------------------------|---------|
| Typed or Printed Name | James A. Bradburne | | Reg. Number | 38,389 |
| Signatur | Jamelhellne | Date 11/20/98 | Deposit Account User ID | 03-3117 |

Burden Hour Statement: his form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Attorney Docket No: GRFN-020/01US

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November 20, 1998

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Commissioner for Patents, Box Sequence, Washington, D.C. 20231.

Date

Vladimir Skliba

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Michael A. Siani, et al.

Serial No.:

09/144,838

Examiner:

Not yet assigned

Filed:

August 31, 1998

Art Unit:

1615

For:

MODULAR PROTEIN LIBRARIES AND METHODS OF PREPARATION

Box SEQUENCE

Assistant Commissioner for Patents

Washington, D.C. 20231

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TRANSMITTAL

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Transmitted herewith are the following documents:

- 1. Paper copy of the Sequence Listing in compliance with 37 CFR 1.1821 through 1.1823.
- 2. Computer readable copy of the Sequence Listing in ASCII format on a 3 ½" floppy disk in compliance with 37 CFR 1.1821 through 1.1824.
- 3. Preliminary Amendment.
- 4. Fee Transmittal.
- 5. Return Postcard Receipt, postage prepaid.

Contents of the paper and computer readable copies of the Sequence Listing are the same. This submission of sequence listing includes no new matter.

The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, or credit any overpayment to Deposit Account No. 03-3117:

[X] Any National Application filing fees under 37 CFR 1.16.

[X] Any patent application processing fees under 37 CFR 1.17.

Cooley Godward LLP Attn: Patent Group Five Palo Alto Square

3000 El Camino Real

Palo Alto, CA 94306-2155 Tel: (650) 843-5000

Fax: (650) 857-0663

Respectfully submitted, COOLEY GODWARD LLP

By:

James A. Bradburne, Ph.D.

Reg. No. 38,389